Material und Prozessinnovationen in der industriellen Schicht- und Oberflächentechnik für die kostengünstige Bereitstellung erneuerbarer Energien



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Oben: Hohlkatoden-Gasflusssputtern | Mitte: OPV Slot Dye Coating | Unten: Modellbasierte Prozessentwicklung

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Gliederung

| 1 | Einleitung | Erneuerbare Energien und Beschichtungstechnik |
|---|----------------------|--|
| | | Einige Fakten |
| 2 | Technologien | Nanokomposite mittels Gasflusssputtern und ALD |
| | | Organische Photovoltaik |
| | | CVD vs. PECVD |
| | | Multiskalen-Modellierung |
| 3 | TU Berlin und PVcomB | Konzepte & Technologien |

4 Zusammenfassung & Ausblick





McKinsey (Hrsg.): Pathways to a Low-Carbon Economy (2009)

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1 Entwicklung des weltweiten Bedarfs an elektrischer Energie Szenario für $c(O_2) < 450$ ppm | $\Delta T < 2^{\circ}C$ | Reduktion AKWs



www.pik-potsdam.de/infodesk/climate-change-knowledge-in-a-nutshell | www.pik-potsdam.de/~anders

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1 PV Überkapazität – Produktionskapazität vs. Installationen



Immer noch deutliche Überkapazität, daher schlechtes Investitionsklima

F. Wessendorf (VDMA), 5. Thin Film Week 2013

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1 Preisentwicklung am Spot-Markt

| Q1-11 | Q1-12 | Q1-13 | |
|-------|--|--|--|
| 3.5 | 2.7 (-23% YoY) | 2.1 (-22% YoY) | 1,61 €/W |
| 1.15 | 0.73 (-37% YoY) | 0.50 (-32% YoY) | 0,38 €/W |
| 1.58 | 0.87 (-45% YoY) | 0.66 (-24% YoY) | 0,50 €/W |
| 1.20 | 0.50 (-58% YoY) | 0.36 (-28% YoY) | 0,28 €/W |
| 0.89 | 0.33 (-63% YoY) | 0.21 (-36% YoY) | 0,16 €/W |
| 79 | 28 (-65% YoY) | 17 (-39% YoY) | 13 €/kg |
| | Q1-11 3.5 1.15 1.58 1.20 0.89 79 | Q1-11Q1-123.52.7 (-23% YoY)1.150.73 (-37% YoY)1.580.87 (-45% YoY)1.200.50 (-58% YoY)0.890.33 (-63% YoY)7928 (-65% YoY) | Q1-11Q1-12Q1-133.52.7 (-23% YoY)2.1 (-22% YoY)1.150.73 (-37% YoY)0.50 (-32% YoY)1.580.87 (-45% YoY)0.66 (-24% YoY)1.200.50 (-58% YoY)0.36 (-28% YoY)0.890.33 (-63% YoY)0.21 (-36% YoY)7928 (-65% YoY)17 (-39% YoY) |

- Extremer Kostendruck!
- Spot-Preis f
 ür a-Si Module in der Gr
 ößenordnung bzw. unterhalb der Herstellungskosten
 - Vgl. Prognose von Tokyo Elektron in 2012: Produktion in China für 0,35 €/Wp Produkt: Mikromorphe Module, 154 W_p, entsprechend η = 10,8 %
 - Hiesige Hersteller sind von diesen Kosten weit entfernt!

S. de Haan, 5. Thin Film Week April 2013 | T. Eisenhammer, IWTSSC-4, März 2012



1 Kostenlage bei der Herstellung von CIS Solarzellen Abschätzung 180 MWp Fab, Grenzebach, Standort D

- 180 MWp Fab für
 185 Mio € Invest
- 113 Mio €/a
 Betriebskosten
- η = 12 %, 270 W
 Module
- Abschreibung 7 a
- TOC: 0,78 €/W_p

 Zellaufbau: G / Mo (400 nm) / CIGS (1,9 µm) / CdS (50 nm) / i-ZnO (50 nm) ZnO:Al (1000 nm)

| Total Cost of Ownership | | | | | | | | |
|---------------------------|--------|------|--------------------------------|-------------------|----------------|---------------|---------------|------------|
| regarding VDMA norm 34160 | | | | | | | | |
| | | | | | | | | |
| Proje | ct Nur | mber | 0 | | | | | |
| Proje | ct Ver | sion | 0 | | | | Date | 01.09.2011 |
| | | | | | | | | |
| | | | | Summary Total Cos | t of Ownership | | | |
| | | | | | | | | |
| | | | | Vendor | Customer | Actual Value | | Details |
| Ε | | | Costing Setup | 184.965.245 € | 0€ | 184.965.245 € | 184.965.245 € | |
| | E1 | | Purchasing | 169.215.245 € | | 169.215.245 € | | |
| | E2 | | Infrastructure | 15.750.000 € | | 15.750.000 € | | |
| | E3 | | Miscellaneous | 0€ | | 0€ | | |
| | | | | | | | | |
| В | | | Operating Costs Duration | 791.530.008 € | 0€ | 791.530.008 € | 791.530.008 € | |
| | B1 | | Operating Costs / Year | 113.075.715 € | 0€ | 113.075.715€ | | |
| | | IH1 | Maintenance and Inspections | 632.592 € | | 632.592€ | | |
| | | IH2 | Scheduled Repairs | 443.087 € | | 443.087 € | | |
| | | IH3 | Unscheduled Repairs | 206.187 € | | 206.187 € | | |
| | | RK1 | Occupancy Costs | 278.588 € | | 278.588 € | | |
| | | MK1 | Material Costs | 68.810.847 € | | 68.810.847 € | | |
| | | EK1 | Costs for Electric Power | 20.553.396 € | | 20.553.396 € | | |
| | | EK2 | Costs for Compressed Air | 963.434 € | | 963.434 € | | |
| | | HB1 | Operating Supplies | 72.270 € | | 72.270€ | | |
| | | EN1 | Disposal Costs | 1.051 € | | 1.051 € | | |
| | | PK1 | Personnel Costs | 21.107.921 € | | 21.107.921 € | | |
| | | WK1 | Tooling Costs | 6.342 € | | 6.342 € | | |
| | | RU1 | Set-up Costs | 0€ | | 0€ | | |
| | | LK1 | Storage Costs | 0€ | | 0€ | | |
| | | SO1 | Miscellaneous | 0€ | | 0€ | | |
| | | | | | | | | |
| V | | | Elimination | 4.171.636 € | 0€ | 4.171.636 € | 4.171.636 € | |
| | V1 | | Dismantling | 5.493.630 € | | 5.493.630 € | | |
| | V2 | | Declining Balance | -1.321.994 € | | -1.321.994 € | | |
| | V3 | | Miscellaneous | 0€ | | 0€ | | |
| | | | | | | | | |
| | | | Total Cost of Ownership | | | | | |
| | | | Total Cost of Ownership / Watt | | | | 0,7783€ | / Watt |

E. Wenninger (Grenzebach), Otti Glas und Solar 2011





E. Wenninger (Grenzebach), Otti Glas und Solar 2011

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1 Standortvergleich und Skaleneffekte



E. Wenninger (Grenzebach), Otti Glas und Solar 2011

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1 Investitionskosten vs. Betriebskosten für einen Betrieb der Anlage über 7 Jahre

Investitionskosten: 185 Mio €
 Betriebskosten: 791 Mio €
 Konsequenz für TCO: 0,775 € / Wp mit 19 % Anteil Investitionskosten und 81 % Anteil Betriebskosten

Konsequenz:

- Geringer Impact bei Substitution bestehender Beschichtungslösungen (Hochvakuumtechnik) durch kostengünstigere Lösungen (Atmosphärendruckbeschichtung)
- Starker Impact f
 ür: (i) Wirkungsgradsteigerung und (ii) Minimierung der Materialkosten, hier sind die Glaskosten ganz wesentlich



2 Technologie

Modellierung

- Multiskalen-Modellierung f
 ür die digitale Fabrik
- DFT / DSMC / PIC-MC Rechnungen

Vakuumverfahren

- MEGATRONTM Sputtern
- Hohlkatoden-Gasflusssputtern

Technologisch neue Ansätze OPV Bandbeschichtung mittels Slot Dye Coating



2.1 Model based development: Filling the gap from 1st principle DFT modeling up to the digital factory



K. Roths et al., Forschungsagenda Oberfläche, DFO Service 2006, ISBN-10: 3834912301 * W. Körner, C. Elsässer, Physical Review B 81 (2010) 85324

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2.1 Beispiel: Diskussion grundlegender Materialfragen mittels **Density-Functional-Theory (DFT): Zustandsdichte ZnO:N**

- Dotierung Korngrenzen
 - Flache Zustände nahe am Valenzband Bilden Akzeptor-Niveaus 2^{60}_{20}
 - aus
- Dotierung Einkristall
 - N-Dotierung liefert tief liegende Zustände
 - Als Akzeptor-Niveaus ungeeignet



Fazit: An experimentelle Daten (Bandlücke) angepasste DFT unter Einbeziehen von Defekten (Korngrenzen) als praktisches Werkzeug für das Materialdesign!

B. Szyszka et al., Thin Solid Films 518 (2010) 3109



2.1 Exp. to model: 2D Simulation of a magnetron discharge Geometric decomposition of a reactor chamber

Empirical geometry



A. Pflug et al., Proc. SVC 52 (2009) 364

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CAD drawing (file format:

2.1 2D Simulation of magnetron discharges Difference between DC and pulsed mode

- Features in RF mode different to DC mode
 - Plasma density in bulk significantly increased
 - Strongly enlarged positive plasma potential
 - Ar⁺ / O₂⁺ ions escape in every direction
 - High ion flux and ion energy on substrate

DC power, 1.0 Pa, 50 W/m, 40 % O₂ in Ar



RF power, 13.56 MHz



A. Pflug et al., Materials Technology 26 (2011) 10

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2.2 Model -> Experiment: Serial Co-Sputtering

Problems during sputtering with conventional cathodes

Target poisoning

Unwanted reactions at the target: Rate > Stability > Film properties >

Target composition

• Target composition is fixed & limited due to manufacturing constrains.

Coupling of process parameters

• ZnO:Al: Change of $p(O_2)$ or T_s yields change of c(Al). How to separate?

In-situ control of deposition rate

• Complex optical monitoring. Implementation! Maintenance!



• Low deposition rate, costly machinery, waste of energy.



2.2 A solution for these problems:



Target composition of the primary target can be modified.

Setup allows for sputter yield amplification for metallic targets.



2.2 Experimental realization of the MEGATRON[™] process Serial co-sputtering with pressure separation

ZnO:Al SnZnO_x TiO_x:Nb In-Ga-Zn-O CIGS TiO₂:X



- Synthesis of new materials and control of doping levels -> n-TCO for PV applications, n-ASO for TFT application
- Available for retrofit by Fraunhofer IST / Interpane

B. Szyszka et al. Cur. Appl. Phys. 12 (2012) S2 | EP1697555B1: Method and device for magnetron sputtering

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2.2 Experimental realization of the MEGATRON[™] process Serial co-sputtering with pressure separation

a) Serial co-sputtering source (model)



b) First plasma in June 2010



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2.2 Example: Bi-doping of TiO₂

Improvement of morphology and enhancement of rate

a) TiO₂ @ 18.9 nm m/min



R_q = 0.81 nm, R_a = 0.65 nm d = 210 nm 0.00 µm 0.20 0.40 0.60 0.60

b) TiO₂:3.8 at.%Bi @ 29.4 nm m/min



R_q = 0.21 nm, R_a = 0.17 nm d = 326 nm

- AFM reveals fine grain size for both films
- Substantial decrease of surface roughness for TiO₂:Bi
- TiO_x:BiO_x targets are not available due to metallurgical reasons



2.2 Summary MEGATRON[™]

Ferchau Innovation Price 2011

Rotatable magnetron sputter source for serial co-sputtering at Fraunhofer IST

- For the 1st time, we've realized a magnetron coating module based on DSMC gas flow simulation and PIC-MC plasma simulation.
- Shielding tube allows for proper gas separation & increase of ion energy.

Serial co-sputtering of TiO₂:Bi

- Ceramic TiO₂ tube sputtering: Rate enhancement by 35 % due to serial cosputtering using Bi.
- Deposition rate of 34.2 nm m/min @ 18 kW for TiO₂:Bi (corresponds to 90 kW for 3.75 m cathode): Increase of dep. rate by 35 %.
- Excellent film properties:
 - Improved smoothness due to Bi-doping
 - No change of optical properties (550 nm: $k < 2 \times 10^{-3}$, n > 2.45)
 - Dense films, glass like morphology, moderate stress (~ -200 MPa)



2.2 Summary MEGATRON[™]

Serial co-sputtering of TiO₂:W

- Ceramic TiO₂ tube sputtering: Rate enhancement > 100 % due to serial cosputtering using W.
- Limit: Performance of the shielding: Onset of unwanted increase of p(O2) at highest growth rate conditions.
- Deposition rate of 55 nm m/min achieved: Increase of dep. rate by 100 %.

Serial co-sputtering of TiO₂:Nb

- Pathway for the control of Nb-doting.
- Preliminary results: $\rho = 2100 \ \mu\Omega$ cm for 210 nm thick film after annealing at 350 °C for 1 h in vacuum (large grain size anatase film)



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2.2 Outlook: Further operation modes of the MEGATRON



- XRF: Measurement of target stoichiometry @ known Bi metallization: Allows for calculation of deposition rate.
- C tubes: Metallization is oxidized at the substrate, transfer of the precision of Ar sputtering to oxide film growth, similar to Meta Mode.



2.2 Transparent conductive oxides



- Plasma damage in DC ceramic target
 TCO sputtering
- Rotatable target damage modeling
- Prevention of plasma damage by reactive deposition
- Prevention of plasma damage by RF superimposed DC deposition



2.2 Plasma damage and target erosion / magnetic field Ceramic target ZnO:Al deposition, static deposition erosion



- Resistivity increase at racetrack position
- High energy particles damage on the growing film
- Due to negative oxygen ions, which are accelerated in the cathode sheath
- Strong dependence on target erosion and discharge voltage
- Strong need for low impedance sputter processes
- In particular for rotatable magnetrons



W. Dewald et al., Thin Solid Films 518 (2009) 1085 | W. Dewald et al., Proc. PVSEC 24 (2009) 2824

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magnet field strength

2.2 Modeling of TCO deposition process Negative oxygen ion issue

Model geometry



 Corresponding hardware (Fraunhofer IST)





2.2 Modeling of TCO deposition process Model features

- Simplified plasma chemistry
 - Species: Ar, O₂, Ar⁺, O₂⁺, O₂⁻, O⁻, e⁻
 - Elastic collisions between elecrons, ions and neutrals
 - Charge exchange collisions between ions and neutrals
- Negative O⁻ ions can be created at the target surface upon ion impact
- Parameters
 - Bipolar sine wave voltage ±250 V, 100 kHz
 - Total pressure = 300 mPa
 - Time step = 10 ps
 - Total physical time interval = 60 μ s (\rightarrow 6 x 10⁶ PIC-MC iterations)



2.2 Modeling of TCO deposition process Visualization of electrons and negative O⁻ ions

100 kHz sine wave, time interval between 50...60 μs shown





2.2 ZnSnO_x TCO Beschichtungsprozess

Widerstandsprofil vs. Modellierung des O⁻ Bombardements

- Experiment:
 - Widerstandsüberhöhung vor den Racetracks.
- Simulation:
 - Modellierung der negativen Sauerstoffionen (Erzeugung, Beschleunigung, Transport, Teilchenstrombilanz am Substrat)





2 Properties of reactive MF sputtered ZnO:Al films Static deposition



- Dependence of plasma damage on gas inlet system
- Formation of negative ions can be suppressed in reactive sputtering.



Position along substrate [cm]

S. Calnan et al., Thin Solid Films 516 (2008) 1242



2 Hohlkatoden-Gasflusssputtern (GFS Prozess)

p_{tot} = 0.1 ... 1 mbar

- Keine Targetvergiftung
- Beschichtung mit sehr hoher Rate
- Hohe Plasmadichte
- Niederenergetische Beschichtung
- Targetausnutzung > 80 %
- Die GFS-Technologie wurde in den 1980er Jahren in Adlershof entwickelt.*



* Akademie der Wissenschaften, Zentralinstitut für Elektronenphysik, Rudower Chaussee 5 T. Jung et al., Mat. Sci. Eng. A140 (1991) 528

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2 Material development using hollow cathode gas flow sputtering (GFS)

Hollow Cathodes Gas Flow Sputter System



- Scale-able system up to 1m, offered by FhG-IST
- More than 20 units installed

GFS Co-sputter process for Delafossite



- Remote process, no arcing due to purge gas
- Dense plasma / low energy, mbar, high rate

Simple and rugged, no turbo

Soft growth DC sputtering

Experiment



- Sputtering of Cu and Cr ring segments
- Control by OES and / or pressure
- Adjustable composition

T. Jung et al., Surf. Coat. Technol. 86-87 (1996) 218 | B. Szyszka et al., Thin Solid Films 518 (2010) 3109

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2 Potential of the GFS technology

| Recent results | ZTO layers with mobility exceeding 50 cm ² /Vs |
|-------------------|--|
| | TiO₂:W layers capable for visible light induced decomposition of fatty acids |
| | p-type delafossite films using reactive GFS |
| | UV-protection of polycarbonate with organic modified ZnO capable for more than 4 000 h global radiation. |
| Industrialization | Pilot lines for 3D parts are being installed in industrial scale at Fraunhofer IST currently |

K. Ortner et al., TCM 2012 | D. Koeßler et al., TCM 2012 | B. Szyszka et al., TSF 518 (2010) 3109 | Minerva Project

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2 Road map for oxide based, transparent electronics



- **1**st wave: n-TCOs as transparent conductors
- **2**nd wave: n-ASOs for oxide TFTs and related products
- **3**rd wave: oxide p-n junctions for oxide LEDs and oxide μ-electronics

Nikkei Electronics Asia November 2007 – Transparent electronic products soon a reality

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2 Amorphous oxides as high quality semiconductive materials

a) Covalent amorphous semiconductor (e.g. a-Si:H)



sp³-overlap (cryst. ordering)
 important for high mobility

b) Amorphous metal oxide (with (n-1)d¹⁰s⁰ (n \ge 4), e.g. InO_x)



- Spherical ns-orbitals overlap in a-MeO_x
- High mobility, even in amorphous state.

K. Nomura et al., Nature 432 (2005) 488 | H. Hosono et al., J. Non Cryst. Sol. 198-200 (1996) 165

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2.2 Conventional a-Si:Η / μc-Si:Η 50 MWp plant

More challenging than expected...but a story to be continued



M. Liehr et al. (Leybold Optics), Presentation V2009 (Dresden, Oct. 2009)

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2.2 Substitution of PECVD by novel processes Hot wire CVD (CAT CVD)



a) State of the art: Large Area PECVD

- AMAT SunFab: Gen. 8.5 (5,7 m²)
 Oerlikon KAI 1200: Gen 5 (1,5 m²)
- Low rate (< 1 nm/s)</p>
- Poor material utilization
- Complex technology
- Adopted from flat panel display

b) Hot-wire CVD

- Activation of SiH_4 at the hot wire
- Simple, robust, in-line compatibel
- Lab: a > 1.5 nm/s achieved
- Scaling: 50 x 60 cm² @ FhG-IST
- Material utilization > 80 %



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2.3 Rückblick: Herstellung von Videoband mittels Slot-Dye-Coating

- Schnelle Beschichtung von Rolle zu Rolle:
 - Atmosphärendruckprozess
 - Schichtdicke ~40 μm
 - 1200 m/min bei 120 cm Breite,
 24/7 Betrieb
- Prozessschritte:
 - Lack-Vorbereitung (Suspension herstellen, mischen, filtern)
 - Band Reinigung
 - Hochgeschwindigs-Coating endlos von Rolle-zu-Rolle
- Aufgabe OPV:
 - Transfer auf OPV Stacks
 - Kontaktierung / Barrieren / Effizienz / Lebensdauer



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2.3 Entwicklung der Kostensituation bei Videotapes: Faktor 17 in 35 Jahren



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2.3 OPV Kostenszenario



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2.3 OPV: Vakuumbasierte vs. nasschemische Prozesse



H. Hoppe, IDTechEx Printed Electronics & Thin Film Week, April 2013

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Results PPP-Project R2R OPV System





Gen1 (Starting PPP)



| layer | back electrode | |
|---------|--------------------|--|
| process | P3: laser ablation | |



| Generation | 1 |
|------------------------------|---------------|
| semitransparent electrode | ITO/PEDOT:PSS |
| active layer | P3HT:PCBM |
| ETL interlayer | none |
| back electrode | aluminium |
| efficiency target | 2.5% |

Gen4 (planed PAPPA)



| layer | back electrode |
|---------|--------------------|
| process | P3: laser ablation |

| | |
|------|--|

| Generation | 4 |
|------------------------------|-------------------------------|
| semitransparent electrode | silver grid & PH1000 (ZnO) |
| active layer 1 | to be defined |
| ETL interlayer | TiOx |
| HTL interlayer | PEDOT:PSS |
| active layer 2 | to be defined |
| ETL interlayer | TiOx |
| back electrode | AL (AG or AU) |
| efficiency target | 8-10% |

D. Teckhaus, IDTechEx Printed Electronics & Thin Film Week, April 2013

3 Zusammenfassung und Ausblick

Relevanz des Themas "Beschichtungen für erneuerbare Energien"

Vakuumverfahren

 OPV mittels Slot-Dye Bandbeschichtung

- Schlüsselthema für die Energiewende und für das Erreichen der CO₂-Einsparziele
- Massiver Ausbau notwendig
- Neue Ebene des Verständnis durch Modellierung
- MegatronTM: Schlüsseltechnologie für PVD
- Hohlkatoden-Gasflusssputtern: Neue
 Basistechnologie f
 ür die Materialentwicklung
- Basierend auf der Video-Tape-Fertigung
- Hoch innovatives und ausbaufähiges Verfahren



Crystals are like people, it is the defects in them which tend to make them interesting!

Prof. John Ziman (solid state physicist and humanist, born1925, died 2005)



Vielen Dank für die Aufmerksamkeit

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2 Conditions for ZnSnO_x deposition by C-Mag sputtering

| Process | Bipolar CMAG 61.5 kHz | | |
|--|---------------------------|------------------|---|
| System parameters | Base pressure | P ₀ | < 5 x 10 ⁻⁶ mbar |
| | Cathode | | Dual cylindrical cathode (Interpane) |
| | Generator | | AE Crystal |
| | Target to substrate dist. | d _{ST} | 190 mm |
| | Target material | | ZnO:SnO ₂ (Zn:Sn = 68:32) |
| Process parameters ZnO:SnO _x | Gas flows | q(MG) | Ar: 190 sccm, Ar+10%O ₂ : 50 sccm |
| deposition | Total pressure | P _{tot} | ≈ 400 mPa |
| | Power | Р | ~ 15 kW / bipolar MF @ 61.5 kHz |
| | Substrates | | Float glass |
| | Carrier speed | V _C | Static, 15 min |
| | | | |



2.2 Example: Nb-doping of TiO₂ Synthesis of TiO₂ based TCOs by MEGATRON sputtering

- 2 x 1 kW with TiO₂ rotatable, 2 x 200 W with Nb planar targets
- Annealing at 350 °C in vacuum for 1 h -> large anatase grains > 10 μm
- d = 211 nm, R_{sh} = 99.8 Ω , ρ = 2100 μΩcm, T_v = 67.6%, n = 2.45



a, b: T. Hitosugi et al., JVSTA 26 (2008) 1027

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